PRELIMINARY APPROVAL OF CLASS SETTLEMENT AGREEMENT Case No. 23STCV03241

WHEREAS, pursuant to California Rules of Court 3.769, the parties seek entry of an order preliminarily approving the Settlement of this Action pursuant to the settlement agreement fully executed on October 26, 2023 (the "Agreement"), which, together with its attached exhibits, sets forth the terms and conditions for a proposed Settlement of the Action; and WHEREAS, the Court has read and considered the Settlement Agreement and its exhibits, and Plaintiff's Unopposed Motion for Preliminary Approval; IT IS HEREBY **ORDERED** as follows:

- 1. The motion is GRANTED.
- 2. Capitalized terms not otherwise defined herein have the meanings set forth in the Settlement Agreement.
- 3. All proceedings in the Action, other than proceedings necessary to carry out or enforce the terms and conditions of the Agreement and this Order, are hereby stayed.
- 4. The Court has subject matter jurisdiction over the Action, and personal jurisdiction over the Parties before it. Additionally, venue is proper pursuant to Cal. Civ. Code § 395.
- 5. The Action is preliminarily certified as a class action, for settlement purposes only, pursuant to California Rules of Court Rule 3.769 and Code of Civil Procedure § 382. The Court preliminarily finds for settlement purposes that: (a) the Class certified herein is sufficiently numerous that joinder of all such persons would be impracticable; (b) there are questions of law and fact that are common to the Class, and those questions of law and fact common to the Class predominate over any questions affecting any individual Class Member; (c) the claims of the Plaintiff are typical of the claims of the Class they seek to represent for purposes of settlement; (d) a class action on behalf of the Class is superior to other available means of adjudicating this dispute; and (e) as set forth below, Plaintiff and Plaintiff's Counsel are adequate representatives of the Class. Defendant retains all rights to assert that the Action may not be certified as a class action, other than for settlement purposes. The Court also concludes that, because the Action is being settled rather than litigated, the Court "need not

inquire whether the case, if tried, would present intractable management problems." *See Amchem Prods.*, *Inc. v. Windsor*, 521 U.S. 591, 620 (1997).

- 6. The Settlement Class shall consist of "All persons in the United States who made a purchase in Pokémon Go while under the age of 18."
- 7. Upon preliminary review, the Court finds that the Agreement, and the Settlement it incorporates is fair, reasonable, and adequate. *See Manual for Complex Litigation* (Fourth) § 21.632 (2004). Accordingly, the Agreement is preliminarily approved and is sufficient to warrant sending notice to the Class.
- 8. Certification of the Settlement Class shall be solely for settlement purposes, without prejudice to the Parties, and with no other effect upon the Action. In the event the Settlement Agreement is not finally approved by this Court, is terminated, or otherwise does not take effect, the Parties preserve all rights and defenses regarding class certification.
- 9. The Court hereby appoints Plaintiff D.D. as Class Representative to represent the Settlement Class.
- 10. The Court hereby appoints Philip L. Fraietta and Alec M. Leslie of Bursor & Fisher, P.A. as Class Counsel for the Settlement Class.
- Agreement only releases claims for injunctive and/or declaratory relief and does not release the monetary or damages claims of the Class, and thus the settlement expressly preserves the individual rights of class members to pursue monetary claims against the Defendant.

  Nonetheless, pursuant to the Settlement Agreement, documents pertaining to the Settlement, preliminary approval, and final approval (including Plaintiff's motion for attorneys' fees and incentive award and any opposition or reply papers thereto), shall be posted on Class Counsel's public website (http://www.https://www.bursor.com/).
- 12. Each Settlement Class Member shall be given a full opportunity to comment on or object to the Settlement Agreement, and to participate at a Final Approval Hearing.

  Comments or objections must be in writing, and must include (1) the name and case number of

the Action (*D.D. v. Niantic, Inc.*, Case No. 23STCV03241); (2) the Settlement Class Member's full legal name and mailing address; (3) the personal signature of the Settlement Class member; (4) the grounds for any objection; (5) the name and contact information of any and all attorneys representing, advising, or assisting with the comment or objection, or who may profit from pursuing any objection; and (6) a statement indicating whether the Settlement Class Member intends to appear at the Final Approval Hearing, either personally or through counsel. Written objections must be served on Class Counsel and Defense Counsel as follows:

D.D. v. Niantic, Inc. c/o Philip L. Fraietta – Bursor & Fisher, P.A. 1330 Avenue of the Americas, 32nd Floor New York, NY 10019

D.D. v. Niantic, Inc. c/o Jeffrey M. Gutkin – Cooley LLP 3 Embarcadero Center, 20th Floor San Francisco, California 94111-4004

Defense Counsel and Class Counsel shall promptly furnish each other copies of any and all objections that might come into their possession.

Class Members may also appear at the final approval hearing to state their objections, whether or not they have made a written objection or given a notice to appear.

- 13. To be considered, written comments or objections must be submitted within 60 days after the entry of this Order. No Class Member shall be entitled to be heard at the Final Approval Hearing, whether individually or through counsel, unless written notice of the Class Member's intention to appear at the Final Approval Hearing is timely filed, or postmarked for mail to the Court within 60 days after date of entry of this Order.
- 14. The date of the postmark on the envelope containing the written objection shall be the exclusive means used to determine whether an objection has been timely submitted.

  Class Members who fail to mail timely written objections in the manner specified above shall be deemed to have waived any objections and shall be forever barred from objecting to the Settlement Agreement and the proposed settlement by appearing at the Final Approval Hearing,

appeal, collateral attack, or otherwise.

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- 15. The Court will hold a final approval hearing on April 4, 2023 at FCKC a.m./p.m, in the Superior Court of California, County of Los Angeles, located at Spring Street
  Courthouse, 312 North Spring Street, Los Angeles, CA 90012, in Department 001. The purposes of the final approval hearing will be to: (i) determine whether the proposed Settlement Agreement should be finally approved by the Court as fair, reasonable, adequate, and in the best interests of the Settlement Class; (ii) determine whether judgment should be entered pursuant to the Settlement Agreement, and releasing the Released Persons of all claims as stated in the Settlement Agreement; (iii) determine whether the Settlement Class should be finally certified; (iv) rule on Class Counsel's motion for attorneys' fees, costs and service awards; (v) consider any properly filed objections; and (vi) consider any other matters necessary in connection with the final approval of the Settlement Agreement.
- 16. Class Counsel's application for attorneys' fees, costs and expenses shall be filed and served no later than thirty (30) days after the Court's order of preliminary approval. Any opposition, comment, or objection shall be filed no later than sixty (60) days after the Court's order of preliminary approval. Any reply shall be filed no later than seventy-four (74) days after the Court's order of preliminary approval.
- 17. The motion in support of final approval of the settlement shall be filed and served no later than thirty (30) days after the Court's order of preliminary approval. Any opposition or objection shall be filed no later than sixty (60) days after the Court's order of preliminary approval. Any reply shall be filed no later than seventy-four (74) days after the Court's order of preliminary approval.
- 18. The Court may, in its discretion, modify the date and/or time of the final approval hearing, and may order that this hearing be held remotely or telephonically. In the event the Court changes the date, time, and/or the format of the final approval hearing, the Parties shall ensure that the updated information is posted on the Class Counsel's public website.

- 19. If the Settlement Agreement, including any amendment made in accordance therewith, is not approved by the Court or shall not become effective for any reason whatsoever, the Settlement Agreement and any actions taken or to be taken in connection therewith (including this Preliminary Approval Order and any judgment entered herein), shall be terminated and shall become null and void and of no further force and effect except for (i) any obligations to pay for any expense incurred in connection with Notice and Other Administration Costs as set forth in the Settlement Agreement, and (ii) any other obligations or provisions that are expressly designated in the Settlement Agreement to survive the termination of the Settlement Agreement.
- 20. Pending final determination of whether the Settlement Agreement should be finally approved, Plaintiff and all Settlement Class Members are barred and enjoined from filing, commencing, prosecuting, or enforcing any action against the Released Parties insofar as such action asserts claims stated in Section VI of the Settlement Agreement, directly or indirectly, in any judicial, administrative, arbitral, or other forum. This bar and injunction is necessary to protect and effectuate the Settlement Agreement and this Preliminary Approval Order, and this Court's authority to effectuate the Settlement, and is ordered in aid of this Court's jurisdiction.
- 21. This Preliminary Approval Order, the Settlement Agreement, the fact that a settlement was reached and filed, and all negotiations, statements, agreements, and proceedings relating to the Settlement, and any matters arising in connection with settlement negotiations, proceedings, or agreements shall not constitute, be described as, construed as, used as, offered or received against Niantic as evidence or an admission or concession of: (a) the truth of any fact alleged by Plaintiff in the Action; (b) any liability, negligence, fault, or wrongdoing of Niantic or breach of any duty on the part of Niantic; or (c) that this Action or any other action may be properly certified as a class action for litigation, non-settlement purposes. This order is not a finding of the validity or invalidity of any of the claims asserted or defenses raised in the Action.

- 22. The Court shall retain jurisdiction over any claim relating to the Settlement Agreement (including all claims for enforcement of the Settlement Agreement and/or all claims arising out of a breach of the Settlement Agreement) as well as any future claims by any Settlement Class Member relating in any way to the Released Claims.
- 23. The Court may, for good cause, extend any of the deadlines set forth in this Preliminary Approval Order without further notice to Settlement Class Members. Without further order of the Court, the Parties may agree to make non-material modifications in implementing the Settlement that are not inconsistent with this Preliminary Approval Order.

IT IS SO ORDERED.

Date: U&q à^¦ÁGÎÉÉG€GH



Honorable Stuart M. Rice

Judge of the Los Angeles Superior Court